

Docket No.: **HSI-0001**

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

Confirmation No.: **1151**

**Ki Beom KIM, Sang Dae KIM, Yoon Soo HAN,  
Youn Heung TAK and Seok Joo KIM**

Group Art Unit: **2812**

Serial No.: **10/621,471**

Examiner: **To be assigned**

Filed: **July 18, 2003**

Customer No.: **34610**

For: **SOURCE FOR THERMAL PHYSICAL VAPOR DEPOSITION OF ORGANIC  
ELECTROLUMINESCENT LAYERS**

**INFORMATION DISCLOSURE STATEMENT**

U.S. Patent and Trademark Office  
Customer Service Window  
Randolph Building  
401 Dulany Street  
Alexandria, Virginia 22314

Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. One copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the indicated date. Applicant reserves the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered. This statement should not be construed as a representation that a search has been made, that information cited in the statement is considered to be and/or is material to patentability, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith. It is further understood that the Examiner will consider information that was cited or submitted to the U.S. Patent and Trademark Office in a prior application relied on under 35 U.S.C. §120. 1138 OG 37, 38 (May 19, 1992).

- ☒ 1. This Information Disclosure Statement is being filed (i) within three months of the U.S. filing date of a U.S. application other than a CPA continued prosecution application under §1.53(d) OR (ii) within three months of the date of entry of the national stage as set forth in §1.491 in an international application OR (iii) before the mailing date of a first Office Action on the merits OR (iv) before the mailing of a first Office Action after the filing of a Request for continued examination under §1.114. No certification or fee is required. 37 C.F.R. §1.97(b).
- ☐ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection OR Notice of Allowance OR an action that otherwise closes prosecution in the application. 37 C.F.R. §1.97(c).

- ☐ a. I hereby state that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(1).
- ☐ b. I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(2).
- ☐ c. Attached is our check no. \_\_\_\_\_ in the amount of \$180.00 in payment of the fee under 37 C.F.R. 1.17(p). Please credit or debit Deposit Account No. 16-0607 as needed to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached.
- ☐ 3. This Information Disclosure Statement is being filed after the mailing date of a Final Rejection OR Notice of Allowance OR an action that otherwise closes prosecution in the application, but on or before payment of the Issue Fee. Attached is our check no. \_\_\_\_\_ in the amount of \$180.00 in payment of the fee under 37 C.F.R. 1.17(p). Please credit or debit Deposit Account No. 16-0607 as needed to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached. 37 C.F.R. §1.97(d).
- ☐ a. I hereby state that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(1).
- ☐ b. I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(2).
- ☒ 4. The references were cited in a corresponding European application in a Search Report dated April 6, 2004. A copy of the Search Report is enclosed for the Examiner's reference.
- ☒ 5. To the extent necessary, a petition for an extension of time under 37 C.F.R. 1.136 is hereby made. Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 16-0607 and please credit any excess fees to such deposit account.

Respectfully submitted,  
FLESHNER & KIM, LLP


Daniel Y.J. Kim  
Registration No. 36,186

Correspondence Address:  
P.O. Box 221200  
Chantilly, VA 20153-1200  
Telephone: (703) 766-3701  
Date: March 22, 2005

**Please direct all correspondence to Customer Number 34610**

DYK/dak

\\fk4\Documents\2179\2179-001\60620.doc

<b>LIST OF ART CITED BY APPLICANT</b>  (PTO-1449)				ATTY. DOCKET NO. HSI-0001		APPLN. SERIAL NO. 10/621,471	
				APPLICANT(S) Ki Beom KIM, Sang Dae KIM, Yoon Soo HAN, Yoon Heung TAK and Seok Joo KIM			
				FILING DATE July 18, 2003		GROUP 2812	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	*PATENT NO.	*ISSUE DATE	*INVENTOR NAME	CLASS	SUBCLASS	FILING DATE	
	6,179,923 B1	01/30/2001	Yamamoto et al.	118	719	08/18/1998	
	6,237,529 B1	05/29/2001	Spahn	118	726	03/03/2000	
<b>U.S. PATENT APPLICATION PUBLICATIONS</b>							
	*PATENT APPLN. PUB. NO.	*PUB. DATE	*APPLICANT	CLASS	SUBCLASS		
<b>U.S. PATENT APPLICATIONS</b>							
	*APPLN. NO.	*FILING DATE	*INVENTOR	CLASS	SUBCLASS		
<b>FOREIGN PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation Yes No	
	EP 0 028 414 A1	05/13/1981	Europe			X	
	EP 0 826 434 A1	03/04/1998	Europe			X	
	EP 0 887 434 A1	12/30/1998	Europe			X	
	EP 0 967 667 A2	12/29/1999	Europe			X	
	EP 1 078 999 A2	02/28/2001	Europe			X	
	EP 1 113 087 A2	07/04/2001	Europe			X	
	EP 1 167 566 A1	01/02/2002	Europe			X	
	JP 60026660	02/09/1985	Japan (English Abstract & Japanese Full Text)			X	
	JP 60086270	05/15/1985	Japan (English Abstract & Japanese Full Text)			X	
	JP63014861	01/22/1988	Japan (English Abstract & Japanese Full Text)			X	
	JP 2000248358	09/12/2000	Japan (English Abstract & Japanese Full Text)			X	
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Publisher, Place of Publication, Etc.)</b>							
	Search Report issued on April 6, 2004 by the European Patent Office.						
EXAMINER				DATE CONSIDERED			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.  
 \\fk4\Documents\2179\2179-001\60621.doc